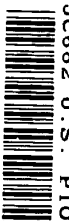


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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **TNX99-05-01**First Inventor **Ruey LIU et al**Title **Anti-IgE Therapy**Express Mail Label No. **EV 004858125****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages **40**)
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **7**]
5. ☒ Oath or Declaration [Total Pages **1**]

- a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☒ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner **A. Beckerleg**of prior application No.: **09 /397,569**Group Art Unit: **1632**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated under The incorporation can only be relied upon when a portion has been inadvertently omitted from the

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
- c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

| | | | | | |
|-------------------|--|-----------------------|----------|--|--|
| Name | | 26839 | | PATENT TRADEMARK OFFICE | |
| Address | | | | | |
| City | | | | | |
| Country | | State | Zip Code | | |
| | | Telephone | Fax | | |
| Name (Print/Type) | | Cheryl A. Liljestrand | | Registration No. (Attorney/Agent) 45,275 | |
| Signature | | Cheryl A. Liljestrand | | Date Nov. 20, 2001 | |

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09/991470



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TOTAL AMOUNT OF PAYMENT

(\$) 684.00

Complete if Known

Application Number To be assigned
Filing Date Nov. 20, 2001
First Named Inventor Ruey LIU et al
Examiner Name A. Beckerleg
Group Art Unit 1632
Attorney Docket No. TNX99-05-01

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

20-0087

Deposit
Account
Name

Tanox, Inc.

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|------------------------|
| 101 710 | 201 355 | Utility filing fee |
| 106 320 | 206 160 | Design filing fee |
| 107 490 | 207 245 | Plant filing fee |
| 108 710 | 208 355 | Reissue filing fee |
| 114 150 | 214 75 | Provisional filing fee |

Fee Paid

370.00

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims 28
Independent Claims 4
Multiple Dependent
Extra Claims -20** = 8
-3** = 1
Fee from below
Fee Paid
72
42
42

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--------------------------------|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent claim, if not paid |

SUBTOTAL (2) (\$) 114.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 390 | 216 195 | Extension for reply within second month | |
| 117 890 | 217 445 | Extension for reply within third month | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 440 | 243 220 | Design issue fee | |
| 144 600 | 244 300 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Statement | |
| 581 40 | 581 40 | Number of properties | |
| 149 710 | 249 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 179 710 | 279 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 169 900 | 169 900 | Request for Continued Examination (RCE) | |
| | | Request for expedited examination of a design application | |
| | | Other fee (specify) | |

200.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 200.00

SUBMITTED BY

Name (Print/Type)

Cheryl A. Liljestrand

Signature

Cheryl A. Liljestrand

Registration No.
(Attorney/Agent)

45,275

Complete (if applicable)

Telephone

713-578-4182

Date

Nov. 20, 2001

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